

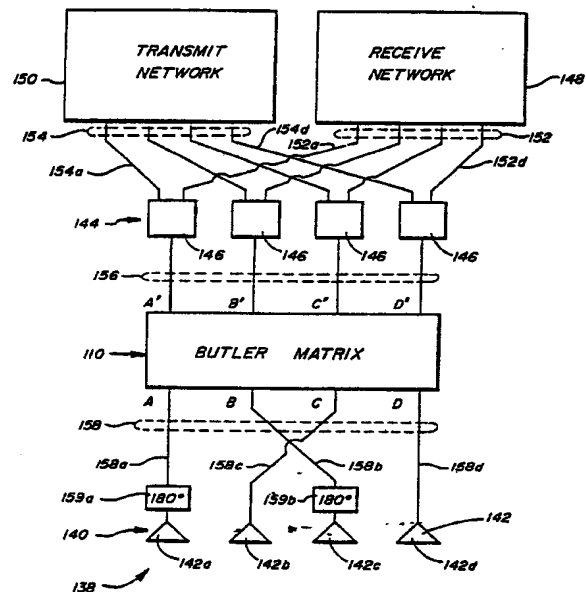


## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification<sup>4</sup> :</b> <b>H01Q 3/22, 3/40, 25/00</b> <b>H04B 7/185</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 88/ 04837</b> <b>(43) International Publication Date:</b> 30 June 1988 (30.06.88)
<b>(21) International Application Number:</b> PCT/US87/03100 <b>(22) International Filing Date:</b> 23 November 1987 (23.11.87) <b>(31) Priority Application Number:</b> 944,091 <b>(32) Priority Date:</b> 22 December 1986 (22.12.86) <b>(33) Priority Country:</b> US <b>(71) Applicant:</b> HUGHES AIRCRAFT COMPANY [US/US]; 7200 Hughes Terrace, Los Angeles, CA 90045-0066 (US). <b>(72) Inventors:</b> RENSCHAW, Kenneth, H. ; 1817 Agnes Road, Manhattan Beach, CA 90266 (US). MURPHY, Timothy, A. ; 2723 Vanderbilt #20, Redondo Beach, CA 90278 (US).		<b>(74) Agents:</b> MITCHELL, Steven, M. et al.; Hughes Aircraft Company, Post Office Box 45066, Bldg. C1, M.S. A126, Los Angeles, CA 90045-0066 (US). <b>(81) Designated States:</b> AU, DE (European patent), FR (European patent), GB (European patent), IT (European patent), JP. <b>Published</b> <i>With international search report.</i>

**(54) Title:** STEERABLE BEAM ANTENNA SYSTEM USING BUTLER MATRIX**(57) Abstract**

A steerable beam antenna system for use in satellite communication systems and including a main reflector and an antenna array having a plurality of feed elements. The antenna array is positionable at or near at least one focal point of the main reflector, and its feed elements can receive microwaves from or transmit microwaves toward the main reflector. A Butler matrix (110) having multiple input ports (158) and multiple outputs (156) is connected to the array of feed elements and substantially performs a spatial Fourier transformation on a generated set of signals to be transmitted which have a predetermined phase relationship between the signals, which is necessary to create the steerable beam. The Butler matrix also can perform an inverse spatial transformation on a set of incoming signals focused on the array by the reflector and received by the feed elements. By using a Butler matrix, the antenna system of the present invention is able to communicate with multiple ground stations simultaneously and with high gain using many virtual spot beams, each oriented to a distinct location on the earth that is dependent on the relative frequency of the beam.



***FOR THE PURPOSES OF INFORMATION ONLY***

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	ML	Mali
AU	Australia	GA	Gabon	MR	Mauritania
BB	Barbados	GB	United Kingdom	MW	Malawi
BE	Belgium	HU	Hungary	NL	Netherlands
BG	Bulgaria	IT	Italy	NO	Norway
BJ	Benin	JP	Japan	RO	Romania
BR	Brazil	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	LI	Liechtenstein	SN	Senegal
CH	Switzerland	LK	Sri Lanka	SU	Soviet Union
CM	Cameroon	LU	Luxembourg	TD	Chad
DE	Germany, Federal Republic of	MC	Monaco	TG	Togo
DK	Denmark	MG	Madagascar	US	United States of America
FI	Finland				

-1-

STEERABLE BEAM ANTENNA  
SYSTEM USING BUTLER MATRIX

1

BACKGROUND OF THE INVENTION

1. Field of the Invention

5 This invention relates to an antenna for satellites to communicate with ground stations and, more particularly, to an antenna system for satellites incorporating an antenna array and a Butler matrix for producing a communicating beam steerable by varying a carrier frequency of the beam.

2. Description of the Related Art

10 Satellites are now employed for providing communication, such as telephone in land mobile service, between distant points on the surface of the earth. One embodiment of such a system is of considerable interest, namely, an embodiment wherein the satellite travels in a geostationary orbit about the earth. For example, the satellite may be located at a fixed position above the United States.  
15 The satellite would carry an antenna having a sufficient beam width in the north-south direction and in the east-west direction to permit the reception and transmission of communication signals between any two points in the United States. The beam width in the north-south direction can be enlarged to include both United States and Canada, if desired. A  
20 beam width of approximately  $4.5^\circ$  in the north-south direction is

-2-

1 sufficient to cover both Canada and the United States. The beam width  
in the east-west direction should be approximately  $8^\circ$  to provide the  
desired coverage. A problem arises in that the use of an antenna having  
the foregoing beam width in the north-south and east-west directions has  
5 less signal gain than is desired. This necessitates larger power amplifiers  
for driving radiating elements of the antenna.

In previous satellite communication systems, such a wide  
beam width antenna has employed at least two overlapping beams to  
provide the coverage. The generation of such beams with a desired  
10 overlap until very recently required the use of separate large reflectors  
each having a diameter of about 16 feet. In the construction of  
communication satellites, however, it is desirable to reduce physical sizes,  
weights, and power requirements to facilitate the construction and  
launching of such satellites.

15 In commonly assigned, copending U.S. Patent  
Application Serial No. 782,770 filed October 1, 1985 in the name of H.A.  
Rosen and entitled STEERED-BEAM SATELLITE COMMUNICATION  
SYSTEM, which is hereby incorporated by reference, there is disclosed a  
system for communicating via satellite between ground stations. The  
20 system comprises a set of ground stations spaced apart along an arc of  
the earth's surface and a satellite positioned above the earth in view of  
the arc. An array of radiating elements is deployed on the satellite, and  
a frequency responsive beam former connected to the radiating elements  
is provided for forming a beam of electromagnetic radiation. The beam is  
45 steerable in response to a carrier frequency of the radiation to intercept  
individual ones of the stations in seriatim. The frequencies of an up-link  
carrier and of a down-link carrier respectively associated with respective  
ones of the ground stations vary monotonically with position along the arc  
to permit automatic positioning of a beam from the satellite to a ground  
30 stations upon energization of a carrier frequency assigned to the ground  
station.

-3-

1                   So that the present invention may be better understood,  
the satellite communication system disclosed and claimed in the  
aforementioned application will now be discussed in some detail by  
reference to Figs. 1 through 5. As shown in Figs. 1 and 2, the satellite  
5       24 employs a simplified antenna structure 30 comprised of two confocal  
parabolic reflectors, one of which is a large main reflector 32 and one of  
which is a small subreflector 34, and a 4 x 2 array 40 of eight radiating  
elements 42, all of which are supported by a frame 44. A front view of  
the array 40 is shown in Fig. 2. The array 40 of radiators 42 is rigidly  
10       secured in front of the subreflector 34, and with the subreflector is  
located within the satellite 24. The main reflector 32 is substantially  
larger than the subreflector 34, and due to the larger size, is folded  
during launch, and is subsequently unfurled when the satellite or  
spacecraft 24 has been placed in orbit. Upon being unfurled it extends  
15       outside of the satellite 24 as shown. Also shown in Fig. 1 within the  
frame 44 is other spacecraft equipment such as rocket engines and fuel  
tanks, thereby to demonstrate that the antenna system 30 can be easily  
carried by the satellite 24.

20                   The arrangement of the components of the antenna  
system 30 provides a significant reduction in weight and complexity for a  
satellite antenna over that which has been employed before. This is  
accomplished by fabricating the main reflector 32 and the subreflector 34  
with parabolic reflecting surfaces, the two surfaces being oriented as a  
set of confocal parabolas having a common focal plane or point 48. Such  
25       configuration of reflecting surfaces in an antenna is described in C.  
Dragone and M. Gans, "Imaging Reflector Arrangements to Form a  
Scanning Beam Using a Small Array", Bell System Technical Journal, Vol.  
58, No. 2, (Feb. 1979), pp. 501-515. The configuration provides a  
magnification of the effective aperture of an array of radiating elements.  
30       In the preferred configurations as shown in Figs. 1 and 2, the  
magnification factor is 4.7. The eight radiating elements 42 of the array  
40 represent a substantial reduction in complexity of the antenna since,  
if a direct radiator of similar sized elements had been employed, a total  
of 155 radiating elements would have been needed to give the same

-4-

1 antenna performance. As shown in Fig. 3, a hexagonally arranged  
antenna array 50 of seven primary radiators 52 may be used if desired in  
place of the 4 x 2 array of radiators mentioned above. The array 50 of  
feed elements 52 may be employed for both up-link and down-link  
5 communications.

Fig. 4 illustrates two exemplary spot beams 56, 58  
produced by the satellite 24 (not shown in Fig. 4) in geosynchronous orbit  
above the earth 60. Spot beam 56 extends substantially along the  
eastern coast of the United States 62 and Canada 64, while spot beam 58  
10 extends substantially along the western coast of the United States 62 and  
Canada 64. The satellite transmits and receives information-carrying  
radiation to and from ground stations located within regions of the  
earth's surface encompassed by the respective first and second spot  
beams 56, 58. The coverage patterns of the respective spot beams 56, 58  
15 preferably are selected such that frequency bands available for  
communications are concentrated in regions of the surface of the earth  
60 where the largest communications capacity is necessary, to optimize  
antenna gain usage by substantially limiting the amount of antenna gain  
which is incident upon regions wherein relatively little communications  
20 capacity is necessary, such as in sparsely populated regions.

The antenna system of satellite 24 provides a one-  
dimensional beam scan (which may be considered to be a continuum of  
virtual spot beams) across the surface of the earth 60. Such a scan can  
be directed along an arc of the earth's surface such as a longitude or a  
25 latitude, or an arc included relative to a latitude. The scanning can be  
accomplished most efficiently for the geography depicted in Fig. 4 by  
scanning in the east-west direction providing a scan path which follows  
an arc of a great circle of the earth. The scanning is preferably  
implemented by using fixed delays (as will be described hereinafter)  
30 among radiating elements of the antenna system and by employing  
different frequencies for different geographical locations on the surface  
of the earth. Thereby, the scanning is accomplished by variation of the  
frequency of the radiation for each position of the beam can (i.e., for

-5-

1 each virtual beam), and in addition, a plurality (not shown) of the beams  
can be generated simultaneously by the provision of different frequencies  
of electromagnetic radiation in each of the beams. By use of this virtual  
beam technique, users at any point within the coverage of the beam scan  
5 are close to the center of one of the virtual beams. Therefore, users  
will typically receive 2 or 3 dB more power than they would from a  
comparable satellite using fixed beams.

To minimize the required electromagnetic power and  
provide for simplicity of antenna structure, the preferred antenna  
10 system provides beams with a generally circular cross section and a width  
of  $4.5^\circ$ , by use of the hexagonal array 50 of radiating elements 52 as  
shown in Fig. 3. The elements 52 preferably are cup dipole feed horns  
one wavelength in diameter.

As an example of its use, the satellite communications  
15 system may be designated for land mobile telephone service, sometimes  
referred to as the Mobile Satellite (MSAT) system. Two frequency bands  
are assigned for such service: 866-870 MHz for the down-link band and  
821-825 MHz for the up-link band. The 4 MHz width of each of these  
bands may be subdivided into approximately 1000 frequency slots which  
20 are individually assignable to individual ground stations on the surface of  
the earth 60 for companded single sideband voice communication. If the  
stations were uniformly positioned from east to west, with each station  
being at a different longitude, approximately twelve assignable channels  
comprising an up-link and a down-link would be available within a scan  
25 angle of approximately  $0.1^\circ$ .

Since the channels would be uniformly spaced apart in  
frequency, a beam would be uniformly stepped in the east-west direction  
as the down-link (or up-link) frequency was shifted from one channel to  
the next channel. In other words, the operating frequency of the ground  
30 station is preferably selected to match the frequency of a beam directed  
from the satellite to the ground station. For a uniform distribution of  
the stations in the east-west direction, the beam could be centered with

-6-

1        respect to the east-west component thereof, upon each of the stations.  
2        However, as a practical matter, the stations tend to be clustered in  
3        various geographic areas of the United States 62 and Canada 64 providing  
4        a nonuniform distribution of the stations along the east-west scanning  
5        path of the beam. Consequently, a peak signal amplitude cannot be  
6        obtained for all of the stations.

7                By way of example, assuming that 25 ground stations  
8        are located within a scan angle of  $0.1^\circ$ , the corresponding reduction from  
9        peak signal amplitude is less than 0.01 dB (decibels). This represents a  
10       significant improvement over previously available satellite communication  
11       systems employing separate fixed beams wherein the average loss in signal  
12       gain relative to peak signal gain in the east-west direction was  
13       approximately 0.8 dB. As noted above, such previous satellite  
14       communication systems employed antenna systems having a plurality of  
15       large antenna reflectors, measuring approximately 16 feet in diameter,  
16       while the antenna system described in the aforementioned patent  
17       application requires only one such large reflector and a much smaller  
18       confocal subreflector as will be described hereinafter. Thus, the  
19       disclosed system provides for improved uniformity of signal gain with a  
20       simplified mechanical structure of the antenna system.

21                Fig. 5 presents a diagram useful in explaining the  
22       frequency scanning operation of the antenna system. A set of four  
23       radiating elements 42 are arranged side by side along a straight line, and  
24       face an outgoing wavefront 66 of electromagnetic radiation. The angle  
25       of incidence of the wavefront or beam scan angle is measured relative to  
26       a normal 68 to the array 40 of elements 42. A frequency scan is  
27       generated in a planar array antenna by introduction of a progressive time  
28       delay into the array. The progressive time delay provides for a  
29       difference in the phases of signals excited by adjacent ones of the  
30       elements 42 such that the phase difference is proportional to the  
31       frequency of the radiated signals. This explanation of the operation  
32       assumes an outgoing wavefront, it being understood that the operation of  
33       the array of elements 42 is reciprocal so that the explanation applies



-7-

1 equally well to an incoming wavefront. The relationship of scan angle to frequency, element spacing, and time delay is given by the following equations:

$$\frac{2 \pi D}{\lambda} \sin \theta = \Delta \Psi = 2 \pi f \Delta T \quad (1)$$

5 therefore,

$$\sin \theta = \frac{\lambda}{D} f \Delta T \quad (2)$$

wherein:

- D = spacing between elements,
- $\theta$  = beam scan angle,
- 10  $\lambda$  = wavelength of radiation,
- $\Delta \Psi$  = phase increment between adjacent elements,
- f = frequency relative to band center, and
- $\Delta T$  = time delay increment between adjacent elements.

15 The radiating elements 42 are energized via a source 70 of microwave energy and a series of delay units 72 coupled to the source 70. Each of the delay units 72 provides the time delay increment referred to above in Equations (1) and (2). The source 70 is connected directly to an element 42 at the left side of the array while the next element 42 is connected by one of the delay units 72 to the source 70.

20 The signals applied by the source 70 to the third and the fourth of the elements 42 are delayed, respectively, by two and three delay increments of the delay units 72. This provides the linear phase relationship to provide the scan angle for the outgoing wavefront 66. The phase increment between two adjacent ones of the radiators 42 is proportional

25 to the product of the frequency of the radiation and the delay increment. When this product is equal to  $360^\circ$ , the wavefront propagates in a direction normal to the array of elements 42. Increasing values of frequency produce greater phase shift to direct the wavefront to the right of the normal 68 as shown in Fig. 5, while decreasing amounts of

30 frequency produce less phase shift and drive the wavefront to the left of

-8-

1 the normal. Accordingly, the wavefront can be scanned symmetrically about the array of elements 42.

5 The aforementioned application also discloses that for the case of the foregoing up-link and down-link frequency bands, and for the case of the radiating elements 42 having a diameter of approximately one wavelength, a suitable value of differential delay, as provided by the delay units 72 of Fig. 5 is 185 nanoseconds for the case of substantially uniform distribution of ground stations on the surface of the earth 60. To provide the east-west coverage of  $8^\circ$ , the up-link and the down-link beams are scanned through an arc from  $-4^\circ$  to  $+4^\circ$ . In view of the magnification factor of 4.7, the scan angle of the array 40 of radiating elements 42 must be enlarged by the same magnifying factor, 4.7, from that of the output scan from the main reflector 32. Therefore, the beam produced by the radiating elements 42 must be scanned through an arc of 18.8° to either side of a normal to the array 40. The foregoing value of differential delay, namely, 185 nanoseconds, provides the 18.8° scan to either side of the normal to the array 40. In the ideal situation of uniformly distributed ground stations between the East Coast and the West Coast of the United States and Canada, the number of channels per degree has a constant value of  $1000/8 = 125$ .

25 In the situation wherein the differential delays provided by the delay units 72 are independent of frequency, then an optimal direction of the scanned beam is obtained for the ideal situation of uniform distribution of ground stations. In the more likely situation of a nonuniform distribution of ground stations, the scanned beam may be displaced slightly from its designated ground station. As has been noted above, such a beam-pointing inaccuracy reduces the signal level by less than 0.01 decibels for a beam-pointing error of 0.1 degree.

30 The aforementioned patent application discloses that the scanning can be adapted to accommodate the foregoing nonuniformity in ground-station distribution by introducing a frequency responsive component to the differential delay. It gives an example of nonuniform

- 9 -

1 distribution where the differential delay between columns of the array 40  
of radiating elements 42 (see Fig. 4) should vary, at least for the forming  
of the down-link beams, between 262 nanoseconds at the low frequency  
end of the transmission band to 131 nanoseconds in the high frequency  
5 end of the transmission band. Other values of delay may be employed in  
the beam forming operation of up-link beams provided by the receiver of  
the antenna system (30).

The values of delay used in the different frequency  
bands, namely, the up-link and down-link frequency bands, are inversely  
10 proportional to the center frequencies of these bands as is apparent from  
Equations (1) and (2). A reduction in the differential delay results in a  
reduced amount of phase shift between successive beams with a  
corresponding reduction in displacement of beam position on the surface  
of the earth 60 from one channel to the next channel. Thereby, the  
15 beam can be more accurately positioned in a region of high density of  
ground stations. In a corresponding fashion, an increase in the  
differential delay results in increased movement of the beam as the  
frequency is shifted from one channel to the next channel, thus  
accommodating positions of the beam to a less dense distribution of  
20 ground stations. The channel number corresponds to a specific frequency  
in either the up-link or the down-link band. With respect to the  
positioning of ground stations along an arc of a great circle of the earth  
60, as disclosed with reference to Fig. 4, it is seen that the frequencies  
selected for the various stations vary monotonically with position along  
25 the foregoing arc.

In view of the foregoing description, it is seen that the  
above described communication system provides two-way communications  
between ground stations and a geosynchronous satellite. The assignment  
of specific frequencies to respective ones of the ground stations, in  
30 combination with frequency scanning of both up-link and down-link beams  
of the satellite (24), permits a simplification in the circuitry of the  
system. In addition, the use of the two confocal parabolic reflectors  
provides a multiplicative factor which reduces the number of elements

-10-

1 required in the array of radiating elements. The use of a scanned beam  
also reduces the physical size of the antenna system by reducing the  
number of reflectors, resulting in a lighter weight, more efficient satellite  
communications system.

5 It has been found that certain technical impediments  
exist to the commercial implementation of the above described confocal  
reflector system. Due to spacecraft size limitations the subreflector 34  
cannot be constructed large enough (in terms of wavelengths) to perform  
with acceptable efficiency. These size limitations also restrict the size  
10 of the main reflector and the focal lengths that may be used in the  
confocal arrangement.

It would be desirable, in order to achieve a further  
weight-saving and simplification of the aforementioned satellite  
communications system, to eliminate the subreflector altogether while still  
15 utilizing a relatively low number of radiating elements. It would also be  
very advantageous to be able to combine the power of output signals from  
several individual amplifiers operated in parallel into an individual one or  
small group of the radiating elements so as to produce a stronger spot  
beam in any given location along the area of the earth being swept by  
20 the scanning beam. It would further be desirable to use as many  
elements as possible as common elements in an antenna system for the  
transmitter antenna system and receiver antenna system of a  
communications satellite so as to save weight, space and cost. The  
present invention is directed to achieving these and other desirable  
25 objects.

#### SUMMARY OF THE INVENTION

In light of the foregoing objects, there is provided in  
one embodiment of the present invention an improved antenna system  
including a reflector having at least one focal point associated therewith,  
30 and an antenna array having a plurality of feed elements. The  
improvement in the antenna system comprises in combination: means,

- 11 -

1       operatively connected to said antenna array, for at least approximately  
performing a spatial transformation on the amplitude and phase  
distribution of input signals provided thereto, and wherein the antenna  
array and the reflector are positionable relative to one another such that  
5       the feed elements are operatively disposed near the focal point of the  
reflector when the reflector is in its intended operating position. The  
spatial transformation which is performed is selected from the group of  
transformations consisting of Fourier transforms and inverse Fourier  
transforms. The transformation performing means includes a Butler matrix  
10       having a plurality of input ports and a plurality of output ports. The  
antenna array and the Butler matrix are preferably used for both  
transmission and reception of signals. When the antenna system is used  
for reception, the input signals provided to the spatial transformation  
means are signals obtained from electromagnetic radiation focused by the  
15       reflector onto the antenna array for reception by the feed elements, and  
the spatial transformation is an inverse Fourier transform. When the  
system is used for transmission, the spatial transformation means produces  
signals provided to the antenna array, and the spatial transformation is a  
Fourier transform. When used as a transmitter, the system preferably  
20       further comprises means for feeding the input ports of the Butler matrix  
with a set of signals having a predetermined phase relation from input  
port to input port.

      The antenna systems of the present invention are  
preferably used in a satellite for communications with ground stations.  
25       In such an application, the system typically is further comprised of a  
satellite frame to which the reflector and antenna array are attached.  
The reflector and antenna array should be operatively arranged with  
respect to one another to enable an steerable beam produced by  
electromagnetic radiation emanating from said array to be reflected off  
30       the reflector when the reflector is in its intended operating position.

      Another aspect of the present invention is a method of  
operating a steerable beam antenna system comprising the steps of: (a)  
providing a set of first signals having a predetermined phase relationship

-12-

1 with respect to one another and containing information to be transmitted;  
(b) generating a set of signals from said set of first signals by at least  
approximately performing a spatial transformation on the amplitude and  
distribution of said set of first signals; and (c) transmitting said set of  
5 second signals toward a reflector by passing said second signals through a  
plurality of radiating elements located near at least one focal point of  
the reflector. The method may further comprise the step of: (d)  
providing a Butler matrix in order to generate said set of second signals  
from said set of first signals, and wherein said spatial transformation is a  
10 Fourier transform.

The method is preferably used in satellite  
communications systems for communicating with multiple grounds stations  
through the use of the steerable beam associated with the antenna  
system. In such applications the reflector is a main reflector and is  
15 mounted on the satellite.

One other aspect of the present invention provides an  
improved steerable beam antenna system having a reflector, an antenna  
array provided with a plurality of radiating elements for generating  
electromagnetic radiation which is reflected off of said reflector and  
20 constitutes a steerable beam, and a plurality of power amplifiers for  
generating output signals simultaneously in parallel which are provided to  
the plurality of radiating elements. The improvement in this embodiment  
of the present invention comprises in combination: means, operatively  
disposed between the amplifiers and the plurality of radiating elements,  
25 for distributing the output signals to the plurality of radiating elements  
in a predetermined manner based upon a relative frequency associated  
with the output signals, whereby the power of the output signals is  
effectively combined. The transformation performing means of this  
antenna system preferably includes a Butler matrix. The system is most  
30 economically configured with only one reflector, and the plurality of  
radiator elements in this configuration is located substantially at the  
focal point of the reflector.

-13-

1                   These and other aspects, objects, features and  
advantages of the present invention will be more fully understood from  
the following detailed description and appended claims, taken in  
conjunction with the drawings.

5                   BRIEF DESCRIPTION OF THE DRAWINGS

In the accompanying drawings:

Fig. 1 is a side elevational view of a communications  
satellite, showing an array of radiators, an imaging reflector and primary  
reflector;

10                  Fig. 2 is a front view of the rectangular array of  
radiators in the Fig. 1 satellite;

Fig. 3 is a front elevational view of the antenna sub-  
systems shown in Fig 1, which employs an alternative hexagonal  
arrangement of radiators;

15                  Fig. 4 is a stylized pictorial view of spot beams formed  
on the surface of the earth using the Fig. 1 satellite;

Fig. 5 is a diagram showing a relationship between an  
outgoing wavefront and the elements of a line array of radiators;

20                  Fig. 6 is a simplified diagrammatic representation of an  
antenna system of the present invention usable in a satellite;

Fig. 7 is an optical diagram showing a dual lens system;

Fig. 8 is simplified electrical diagram of a four port  
Butler matrix usable in an antenna system of the present invention;

-14-

1                    Fig. 9 is a plot, as a function of input phase, of the amplitude of the output signal of port A of the Fig. 8 Butler matrix when ports A', B', C' and D' are fed with a specified set of input signals;

5                    Fig. 10 is a plot, as a function of input phase, of typical amplitudes of all of the output ports in the Fig. 8 Butler matrix when the matrix is fed with a specified set of input signals;

                  Fig. 11 is a simplified electrical block diagram showing a set of diplexers and a Butler matrix used in common by transmitter and receiver networks in an antenna system of the present invention;

10                   Fig. 12 is an electrical diagram of one embodiment of a receive network of the present invention which introduces port-to-port phase differences into a received set of signals through the use of progressive time delays or frequency dependent phase shifts;

15                   Fig. 13 is an electrical block diagram of one embodiment of a transmit network of the present invention having functional similarities to the receive network shown in Fig. 12; and

20                   Fig. 14 is an electrical block diagram of a dual frequency dual signal transmit network of the present invention for simultaneously summing and preparing for transmission a plurality of distinct frequency signals.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

25                   The present invention comprises a novel antenna system for communicating with multiple ground stations typically distributed over a large geographical area of the earth. The following description is presented in conjunction with the technical description set forth above, to enable any person skilled in the art to make and use the invention, and is provided in the context of a particular application and its requirements. Various modifications to the preferred embodiments will be



-15-

1 readily apparent to those skilled in the art, and the generic principles  
defined herein may be applied to other embodiments and applications  
without departing from the spirit and scope of the invention. Thus, the  
present invention is not intended to be limited to the embodiments shown,  
5 but is to be accorded the widest scope consistent with the principles and  
features disclosed herein.

As shown in Fig. 6, the antenna system 80 of the  
present invention includes a main reflector 32 and an array 40 (or 50) of  
radiators 42 (or 52) of the type described in Figs. 1 through 5 above.  
10 The antenna system 80 is preferably located on satellite 24 by being  
mounted on a suitable frame 44. In the antenna system 80 of the present  
invention, the subreflector 34 (shown in Figs. 1 and 3) is removed and the  
array 40 (or 50) of primary radiators 42 (or 52) or feed horns is placed  
at (or near) the focal point or plane 48 of the offset feed reflector 32.  
15 The array 40 (or 50) is fed by a Butler matrix 82, which is arranged  
"backwards" with respect to the traditional use of this type of beam  
forming matrix. Connected to the matrix 82 are the transmitter and  
receiver networks, represented by block 84. The use of a Butler matrix  
in this manner produces an excitation sequence for the antenna system 80  
20 which is the spatial Fourier transform of the excitation sequence input to  
the beam forming array of primary radiators in the antenna system 30 of  
Figs. 1 through 5. In this way, the far field pattern produced by the  
array and signal reflector is identical (in the ideal case) to that of the  
previously described confocal arrangement. There will be some  
25 difference in the non-ideal case due to the effects of spatial sampling  
and the physical limitations on the size of the array that may be placed  
at the focal point.

The operation of the antenna system 80 shown in Fig. 6  
and the foregoing explanation of same may be better understood by  
30 considering the equivalent optical model of the conformal reflector  
configurations, shown in Figs. 1 and 3 previously described above. Fig. 7  
shows the equivalent optical model 90 of this earlier antenna system 30  
employing two lenses 92 and 94, which correspond in function to the main

-16-

1 reflector 32 and the subreflector 34 respectively. The focal plane  $x'$  represented by line 96 includes the focal point 48. The focal length of lens 92 is  $F_2$ , while the focal length of lens 94 is  $F_1$ . The magnification factor  $M$  of the system 90 in Fig. 7 is given by:

5 
$$M = F_2/F_1 \quad (3).$$

An amplitude and phase distribution of an image,  $F(x)$ , at the image plane  $x$  represented by line 98 is magnified by lenses 92 and 94 such that at the magnified image plane  $x''$  represented by line 100

$$f(x'') = f(Mx) \quad (4).$$

10 From optical theory, it is well known that the amplitude and phase distribution at the focal plane  $x'$  is the spatial Fourier transform of the amplitude and phase distribution at the image plane  $x$ . That is to say:

$$f(x') = F[f(x)] \quad (5).$$

15 By removing the first lens and producing  $f(x')$  directly at the focal plane  $x'$ , the same amplitude and phase distribution will result at the magnified image plane  $x''$ . The antenna system 80 of the present invention is based upon this idea.

Returning to Fig. 6, it may be seen that the Butler matrix 82 in the system 80 performs the spatial Fourier transform of the excitation sequence generated by the transmitter in block 84. It may also be seen that the Butler matrix 82 performs the spatial inverse Fourier transform,  $F^{-1}[f(x'')]$ , of the far field beam reflected off reflector 32 and focused onto the antenna array 40 (or 50) for reception by the feed elements 42 (or 52), and subsequent processing by the receiver in block 84.

20

25

- 17 -

1                    Fig. 8 illustrates a four port Butler matrix 110, which  
has a set of four inputs and a set of four outputs. The Butler matrix  
110 includes four  $90^\circ$  phase lead hybrids 112 and two negative  $45^\circ$  phase  
5                    shifters 114 interconnected to one another and to the two sets of four  
ports as shown. The four port matrix 110 is considered here for  
simplicity, but as those in the art know, Butler matrices can be designed  
with any number of desired ports. In this regard, much work has been  
done in developing design technique for Butler matrices, see, e.g., M.  
Ueno, "A Systematic Design Formulation for Butler Matrix Applied FFT  
10                    Algorithm," IEEE Trans. Antennas and Propagation, Vol. AP- 29, pp. No.  
3, May 1981. In the traditional use of this matrix, ports A, B, C and D  
would be the input ports and ports A', B', C' and D' would be the output  
ports and would be attached to radiator elements in an antenna system  
which does not use a reflector. When the antenna system 80 of the  
15                    present invention is used to transmit, ports A', B', C' and D' are used as  
the input ports, and ports A, B, C, and D are used as the output ports.  
In the system 80 used as a transmitter, the ports A', B', C' and D' are  
fed with a set of signals that have some predetermined phase relationship  
from port to port that is a function of frequency. If the same signals  
20                    were fed to a planar antenna array, different spot beams, each with a  
different beam direction, would be formed for the different frequencies.  
We sometimes refer to these spot beams as virtual beams, since in theory  
a continuum of beams exist over the entire beam width defined by the  
lowest frequency to highest frequency spot beams. The different phase  
25                    distributions resulting from different frequencies are combined in the  
matrix 110 and constructively or destructively combine on different  
output ports. The effect is the creation of a virtual phase center in the  
array of signals at output ports A, B, C and D for each frequency. In  
other words, the phase center of an antenna array 40 (or 50) having a  
30                    plurality of radiator elements 42 (or 52) with one such element attached  
to ports A, B, C and D will scan as a function of frequency. A  
particular frequency may result in a signal at one and only one port, or it  
may result in signals at two or more ports whose amplitude and phase  
correspond to a spatial phase center somewhere between the ports.

-18-

1                    Curve 120 of Fig. 9 shows the amplitude response of  
port A in Fig. 8 when input ports A', B', C' and D' of matrix 110 are fed  
with a set of input signals defined by:

$$x_n(t) = \sin(\omega t + n \Psi) \quad n = 0, 1, 2, 3 \quad (6)$$

5        where  $\Psi$  is varied from 0 to 2 pi. (In the usual frequency scanned  
technique the input phase value  $\Psi$  is some function of frequency and is  
not necessarily constant). Port A' corresponds to  $n = 0$ , port B' to  $n = 1$   
and so forth. Note that at a particular phase distribution a maximum  
10        signal level occurs at port A. Figure 10 shows the magnitudes of the  
output signals on all ports when the matrix 110 is fed with the same type  
of signal sequence described above. Curves 122, 124 and 126 are the  
output signals of ports B, C and D, respectively. Note that each port  
has a maximum output value for a different relative input phase value  $\Psi$ .  
15        Note also that of a maximum amplitude for a particular port, the outputs  
of the other ports are zero. For example, when curve 120 associated  
with port A is of its maximum at point 128, curves 122-126 are at zero  
amplitude at point 130. Further analysis shows that the phase center of  
antenna array attached to the output of the Butler matrix (as illustrated  
20        in Fig. 11) will scan the length of the array 40 (or 50) as a function  
of  $\Psi$ . Different frequencies result in different phase centers of the  
antenna array.

25        The performance of an antenna system employing a  
Butler matrix is affected by the number of elements used. The more  
elements used the better the spatial sampling of the input and output  
signal sequences. Thus, it will be appreciated that a Butler matrix with  
relatively few ports performs a rough approximation of a Fourier  
transform (or inverse Fourier transform) on signals passing therethrough.  
As the number of ports increases, the quality and accuracy of the  
transformation performed increases.

- 19 -

1                    Fig. 11 illustrates in greater detail how the Butler  
matrix 110 may be used in an antenna system 138 of the present  
invention, which includes transmitter and receiver subsystems. The  
system 138 includes an array 140 of feed elements or horns 142, which  
5                    are used both as radiating elements and receiving elements. The horns  
142 may be of any conventional or suitable design, such as a cup dipole  
one wavelength in diameter. In practice, the horns 142 function in the  
same basic manner as the radiating elements 42 (or 52) described earlier,  
and are located at or very near the focal plane or plane of an offset  
10                    feed reflector 32, as in the Fig. 6 arrangement.

                  The system 138 also includes a group 144 of diplexers  
146, and a receive network 148 and a transmit network 150 which are  
respectively connected to the diplexers 146 by groups 152 and 154 of  
electromagnetic conduits or conductors. These components may all be of  
15                    conventional or suitable design. For the diplexers 146, however, we  
prefer to use diplexers of the type fully described in commonly assigned  
U.S. Patent No. 4,427,953 to T. Hudspeth and H. Keeling entitled  
MICROWAVE DIPLEXER. The diplexers 146 serve to properly route  
incoming signals in the up-link frequency band (received by the antenna  
20                    array 140, transformed by the matrix 110, and impressed upon conductors  
of conductor group 156) to the receive network 148. Similarly, the  
diplexers 146 serve to route the set of signals in the down-link frequency  
band (generated by the transmit network 150, impressed upon conductor  
group 154) to the Butler matrix 110, where they are transformed and  
25                    impressed on conductor group 158 for delivery to the antenna array 140.  
Note that in Fig. 11 the output of ports B and C of the Butler matrix 110  
are reversed in order to obtain a continuous scan of the virtual phase  
center with frequency. This is accomplished by having port B connected  
by conduit 158b to feed horn 142c, and having port C connected via  
30                    conduit 158c to feed horn 142b as shown. The need to reverse the  
outputs of the beam ports B and C is clear when one observes that in Fig.  
10 the curves 122 and 124 representing for the output signals of ports B  
and C are reversed with respect to the ordering of the output signals of  
ports A and D, from high to low values of input phase  $\psi$ . The two  $180^\circ$

-20-

1 phase shifters 159a and 159b correct for phase reversals in the output  
signals of ports A and B, which occur on account of the operation of the  
Butler matrix 110.

5 Fig. 12 is a block diagram for one possible embodiment  
for, which shows the various components and signal paths the receive  
network 148 of antenna system 138 in Fig. 11. The network 148  
includes: a group 160 of preamplifiers 162 for boosting the level of the  
received signals delivered by conduits 152; a group 164 of frequency  
10 translators 166 for reducing the carrier frequency of received signals  
from preamplifiers 162 to a intermediate or baseband frequency range; a  
group 168 of four bandpass filters 170 for rejecting side lobes or other  
frequency translation products outside of the desired frequency range;  
and a group 172 of three shift-producing components or elements 174, all  
15 connected together as shown to produce a baseband signal on output  
terminal or port 176. The shift producing elements 174 may either be  
time delay elements or frequency dependent phase shifters. The receiver  
network 148 is tuned to the frequency bands of the respective up-link  
communication channels, thereby permitting simultaneous reception of  
signals from a plurality of ground stations.

20 Fig. 13 is a block diagram of the transmit network 150  
shown in Fig. 11. The network 150 includes: a group 180 of shift-  
producing components or elements 182; a group 184 of four frequency  
shifters 186 for increasing the carrier frequency of signals imposed on  
group of conduits 188 to a higher frequency range, a group 190 of band  
25 pass filters 192 for removing unwanted signals outside of the desired  
frequency range generated by the operation frequency translators 186;  
and a group 194 of power amplifiers 196 to boost the power of the signals  
impressed on conductor group 154. In the transmit network 150, the  
signal to be transmitted is imposed upon input terminal or port 198.

-21-

1 Figs. 12 and 13 also illustrate one possible method for  
producing the port-to-port input phase value  $\Psi$  as a function of  
frequency through the introduction of time delays or frequency dependent  
phase shifts. These are introduced through the shift-producing elements  
5 174 in Fig. 12, and the shift-producing elements 182 in Fig. 13. In Fig.  
13, the time delays or phase shifts are introduced at baseband (or some  
intermediate frequency), and then each signal in the resultant signal set  
is imposed on a conduit of conduit group 188 in order to be frequency  
translated in parallel by frequency translators 186 up to the desired  
10 frequency range. This is done so that a particular bandwidth will  
produce the desired range of phase distributions in the signal set applied  
to the Butler matrix 110 through conductor group 154, and therefore  
result in the scanning of the phase center of the array across to the  
desired range. This method can be advantageously applied, for example,  
15 in the MSAT system discussed in the background portion of the  
specification.

The MSAT system satellite discussed above will transmit  
in the UHF band at 866 to 870 MHz. The change in phase of a sinusoid  
due to a time delay such as those in Figs. 12 and 13 can be calculated by  
20 the following formula where input phase value is expressed in radians:

$$\Delta \Psi = n2 \pi f \tau \quad (7).$$

In order to produce a sufficiently large beam scan angle when using the  
Butler matrix 110 shown in Fig. 8, a fairly wide range of phase  
distributions is required.

25 One approach for determining what time delays or phase  
shifts are required to operate the system 138 of Fig. 11 in the desired  
manner is to choose the optimum range of phase distributions and find a  
frequency at which the bandwidth in question will produce this range  
using a time delay or phase shifting device. For example the Butler  
30 matrix 110 shown in Fig. 8 will provide the best scanning of the phase  
center of the antenna array if the input phase distributions range

-22-

- 1 between  $\pi/4$  and  $7\pi/4$  radians. For simplicity assume a time delay will be used. Hence setting the conditions

$$\pi/4 = 2\pi f_1 \tau \quad (8)$$

and

5  $7\pi/4 = 2\pi f_2 \tau \quad (9)$

then

$$\tau = 7\pi/8\pi f_2 = \pi/8\pi f_1 \quad (10)$$

or

$$f_2/f_1 = 7 \quad (11)$$

- 10 Combining this relationship with the idea that

$$f_2 - f_1 = 4 \text{ MHz} \quad (12)$$

- i.e., the bandwidth of down-link transmissions in the MSAT satellite, we can find that  $f_1 = 666.7 \times 10^3 \text{ Hz}$  and  $f_2 = 4.6667 \times 10^6 \text{ Hz}$ . Working at this intermediate frequency range we can now find a time delay that will produce the desired range of phase distributions, namely
- 15  $\tau = 1/(8f_1) = 187.5 \times 10^{-9} \text{ seconds.}$

- Working with this time delay at this intermediate frequency band allows the bandwidth of the signal to produce the desired port to port frequency dependent phase relationships. Each signal in the set can then be frequency translated up to the desired frequency range (in parallel)
- 20 without changing the port to port phase relationship introduced by the time delays at the intermediate frequency band.

- By using different time delays and different intermediate frequencies the signals from different transmitters (or to different receivers) may be combined to use the same Butler matrix and produce the same type of antenna patterns even if the transmitters are operating at different frequencies and have different bandwidths. Using the technique described above, different signals with different bandwidths
- 25



-23-

1        may be used to produce a set of input signals with the same range of phase  
distributions. Applying the combination of these sets to the Butler  
matrix feeding an antenna array allows both signal bandwidths to produce  
the same frequency scanned virtual beam patterns. This concept is  
5        illustrated in Fig. 14, which shows a dual frequency transmit network 210  
capable of generating two sets of output signals at different frequency  
bands. The network 210 may be used in place of transmit network 150 in  
the antenna system 138 shown in Fig. 11.

          The network 210 includes a first frequency network  
10        portion 212, a second frequency network portion 214, and a common  
network portion 216. Network portion 212 is comprised of a group 180 of  
three shift-producing devices 182 and a group 184 of frequency  
translators 186, which operate as previously explained in Fig. 13.  
Network portion 214 includes a group 222 of shift-producing devices 224  
15        and a group 226 of frequency translating devices 228 for producing a set  
of signals at a different frequency band from those produced by network  
portion 212. The shift-producing devices 182 and 224 may be time delay  
units or frequency dependent phase shift units. Network portion 216  
includes: a group 230 of sum-producing elements or mixers 232 (which  
20        combine the two different sets of signals from network portions 212 and  
214 delivered to the mixers 232 via conductor groups 234 and 236,  
respectively); a group 190 of band pass filters 192; and a group 194 of  
power amplifiers 196. The various components of network 210 are  
connected as shown in Fig. 14 and result in the production of two sets of  
25        signals having different frequency bands which are combined, amplified  
and then simultaneously impressed upon conductor group 154 for delivery  
to the remainder of the system 138 shown in Fig. 11.

          The shift-producing units or devices 174, 182 and 224  
may be conveniently fabricated of lumped parameter all-pass networks  
30        employing well-known circuitry. These units or devices are located  
ahead of the transmitting power amplifiers 184 and 196 in Figs. 13 and 14  
so as to operate at relatively low power and thereby minimize power loss.

-24-

1                   The foregoing embodiments of the present invention  
have been described with respect to a mobile satellite communication  
systems for transmitting and receiving between multiple ground stations at  
certain specified frequencies in the L band. Those in the art will  
5    appreciate that the present invention may be readily adapted to be used  
in land or satellite communication systems operated in other frequency  
bands, such as the C or Ku bands, for example. The size of the main  
reflector, the arrangement and type of antenna arrays, and the specific  
receive and transmit networks utilized in the present invention may vary  
10   substantially without departing from the fair scope of the broader aspects  
of the present invention. For example, separate feed horns may be used  
to transmit and receive electromagnetic radiation constituting the  
steerable beam. Also, a conventional screen-type diplexer may be placed  
between the antenna array and reflector so as to divert the incoming  
15   electromagnetic radiation to be received to a separate receiver array  
arranged at a substantial angle to the plane of first antenna array. Such  
an embodiment would thus have separate transmit and receive antenna  
arrays. Alternatively, two separate main reflectors could be provided,  
one to be used with a separate transmit antenna array, and the other to  
20   be used with a separate receive antenna array. We presently do not  
favor this latter arrangement for satellite antenna systems of the present  
invention, on account of the appreciable extra weight and cost of  
providing two main reflectors. However, such an embodiment may be  
quite suitable for systems of the present invention constructed on land or  
25   on sea-going vessels.

-25-

1                   In view of the foregoing description, it is seen that the  
antenna system of the present invention is well-suited for two-way  
communications between ground stations and a geosynchronous satellite.  
The antenna system of the present invention has the advantages of  
5                   effectively combining the power of the output signals of a plurality of  
power amplifiers simultaneously operated in parallel. It also provides a  
single reflector antenna system which, through the use of a spatial  
transformation means such as a Butler matrix, is functionally equivalent  
to the dual confocal reflector system described in the background portion  
10                  of the specification, including achieving a magnification of the effective  
aperture of the elements. The antenna system of the present invention  
eliminates the need for the use of a subreflector without providing  
additional radiating elements, thus saving weight, space and cost, since the  
antenna system of the present invention uses a scannable virtual beam  
15                  technique, it also reduces the physical size of the antenna system by  
minimizing the number of radiating elements and reflectors which must be  
used. Thus, an antenna system of the present invention results in a  
lighter weight, more efficient satellite communication systems. Finally,  
the use of time delays or phase shifts at baseband or intermediate  
20                  frequencies allows the output of multiple transmit networks to be applied  
to a single array of radiating elements to produce the same antenna  
pattern at different frequencies, thus enabling the antenna system to be  
used in satellite communication systems requiring a multiple, two-way,  
simultaneous communication channels between many widely separated  
25                  ground stations within the scanning angle of the virtual beams.

                  It is to be understood that the above-described  
embodiments of the present invention are illustrative only, and that  
modifications thereof may occur to those skilled in the art. Accordingly,  
the present invention is not to be regarded as limited to the embodiments  
30                  or methods disclosed herein, but is to be limited only as defined by the  
appended claims.

-26-

CLAIMSWe Claim:

1           1.       In an antenna system including a reflector having at least one focal point associated therewith, and an antenna array having a plurality of feed elements, the improvement comprising in combination:

5               means, operatively connected to said antenna array, for at least approximately performing a spatial transformation on the amplitude and phase distribution of input signals provided thereto, and wherein

              said antenna array and said reflector are positionable with respect to one another such that the feed elements are operatively disposed near the focal point of said reflector when said reflector is in its intended operating position.

1           2.       A system as in Claim 1, wherein said spatial transformation is selected from the group of transformations consisting of Fourier transforms and inverse Fourier transforms.

1           3.       A system as in Claim 1, wherein each of said feed elements are feed horns.

1           4.       A system as in Claim 1, wherein said feed elements are arranged in a hexagonal pattern.

1           5.       A system as in Claim 1 further comprising:  
              a satellite frame to which said reflector and antenna array are attached, and wherein said reflector and antenna array are operatively arranged with respect to one another to enable a steerable beam produced by electromagnetic radiation emanating from said array to be reflected off of said reflector when the reflector is in its intended operating position.

-27-

1           6.       A system as in Claim 1, wherein said input signals are signals obtainable from electromagnetic radiation focused by said reflector onto said antenna array for reception by said feed elements, and said spatial transformation is an inverse Fourier transform.

1           7.       A system as in Claim 1, wherein said means includes a Butler matrix having a plurality of input ports and a plurality of output ports.

1           8.       A system as in Claim 7, wherein the output ports of said Butler matrix are connected to the feed elements of the antenna array, and said spatial transformation is a Fourier transform.

1           9.       A system as in Claim 8, further comprising means for feeding the input ports of said Butler matrix with a set of signals having a predetermined phase relationship from input port to input port.

1           10.      A system as in Claim 9, wherein said predetermined phase relationship defined by the formula:

$$x_n(t) = \sin(\omega t + n\Psi) \quad n = 0, 1, 2, 3$$

5       where n identifies the relative position of each signal within said set of signals, and where  $\Psi$  is an input phase value in the range from 0 to 2 pi.

1           11.      A system as in Claim 10, wherein the input phase value varies as a function of the frequency  $\omega$ .

1           12.      A system as in Claim 9, wherein said signal generating means includes a plurality of signal paths, with one such path being associated with each signal generated thereby, and wherein each such signal path includes an amplifier.

-28-

1           13.     A system as in Claim 9, wherein each such signal path  
also includes a bandpass filter arranged in series with said amplifier.

1           14.     A system as in Claim 7, further comprising:  
first means for generating, from a first signal having a  
first nominal frequency, a first set of second signals shifted in phase from  
one another for delivery to said transformation performing means in order  
5 to enable said system to produce a frequency scanning virtual beam  
containing information encoded in said first signal.

1           15.     A system as in Claim 14, wherein said first means for  
generating includes a plurality of shift-producing elements, each being  
capable of effectively phase-shifting a signal passed therethrough and  
each being associated with one of said second signals.

1           16.     A system as in Claim 14, wherein each shift-producing  
element is a time delay device.

1           17.     A system as in Claim 14, wherein said first means for  
generating includes a plurality of band pass filters and modulating  
amplifiers, and wherein each shift-producing element is operatively  
connected to a signal path formed at least in part by one such band pass  
5 filter and one such modulating amplifier arranged in series between said  
shift-producing element and one of the input ports of said Butler matrix.

1           18.     A system as in Claim 14, further comprising:  
second means for generating, from a third signal having  
a second nominal frequency different from said first nominal frequency, a  
second set of second signals for delivery to said transformation  
5 performing means in order to transmit as part of, said frequency scanning  
virtual beam, information encoded in said third signal.

-29-

1           19.     A system as in Claim 18, further comprising:  
                  means for summing said first and second sets of second  
signals before said signals are delivered to said transformation performing  
means.

1           20.     A system as in Claim 19, wherein said summing means  
includes a plurality of sum-producing elements, and said first means for  
generating and said second means for generating each include and share a  
plurality of band pass filters and modulating amplifiers, and wherein each  
5 sum-producing element is operatively connected to a signal path formed  
at least in part by one such band pass filter and one such modulating  
amplifier arranged in series between said sum-producing element and one  
of the input ports of said Butler matrix.

1           21.     A system as in Claim 18 wherein said first generating  
means and said second generating means each include a plurality of  
frequency translation means for modulating the set of second signals  
associated therewith at a predetermined frequency before said second  
5 signals are delivered to respective ones of said sum-producing elements.

1           22.     A system as in Claim 14 wherein said first means for  
generating includes a plurality of frequency translation means for  
modulating the set of second signals at a predetermined frequency before  
said second signals are delivered to said transformation performing means.

1           23.     A method of operating a steerable beam antenna system,  
comprising the steps of:

                  (a) providing a set of first signals having a  
predetermined phase relationship with respect to one another and  
5 containing information to be transmitted;

                  (b) generating a set of second signals from said set of  
first signals by at least approximately performing a spatial transformation  
on the amplitude and distribution of said set of first signals; and

-30-

10 (c) transmitting said set of second signals toward a reflector by passing said second signals through a plurality of radiating elements located near at least one focal point of the reflector.

1 24. A method as in Claim 23 wherein said reflector is mounted on a satellite and is a main reflector.

1 25. A method as in Claim 23, further comprising the step of:  
(d) providing a Butler matrix in order to generate said second set of signals from said set of first signals, and wherein said spatial transformation is a Fourier transform.

1 26. A method as in Claim 23, wherein the predetermined phase relationship of said set of first signals is at least substantially defined by:

$$x_n(t) = \sin(\omega t + n \Psi) \quad n = 0, 1, 2, 3$$

5 where n identifies the relative position of each first signal within the set of first signals, and  $\Psi$  is the input phase value.

1 27. A method as in Claim 26, wherein the input phase value is held constant.

1 28. A method as in Claim 26, wherein the input phase value varies as a function of frequency.

1 29. A method as in Claim 23, wherein step (a) includes the substeps of:

- (1) providing a baseband signal; and
  - (2) introducing a plurality of time delays into said baseband signal to generate at least a plurality of said first signals.
- 5



-31-

1                   30.       A method as in Claim 23, wherein step (a) includes the  
substeps of:

(1) providing a baseband signal; and

5                   (2) applying said baseband signal to a plurality of  
frequency dependent phase shifters to generate at least a plurality of  
said first signals.

1                   31.       A method as in Claim 23, further comprising the step of:

(d) frequency translating the set of first signals to a  
first higher frequency range in parallel before generating said set of  
second signals.

1                   32.       A method as in Claim 31 further comprising the steps of:

(e) providing a set of third signals having a  
predetermined phase relationship with respect to one another;

5                   (f) generating a set of fourth signals from said set of  
third signals by at least approximately performing a spatial transformation  
on the amplitude and distribution of said set of third signals;

(g) transmitting said set of fourth signals toward said  
reflector by passing said fourth signals through the same plurality of  
radiating elements the second signals are passed through; and

10                   (h) frequency translating the set of third signals to a  
second higher frequency range in parallel before generating said set of  
fourth signals, said second frequency range being different from said first  
frequency range.

1                   33.       A method as in Claim 32, further comprising the step of:  
providing a common Butler matrix in said antenna system  
for generating simultaneously said sets of second and fourth signals from  
said sets of first and third signals respectively.

1                   34.       In a steerable beam antenna system having a reflector,  
an antenna array provided with a plurality of radiating elements for  
generating electromagnetic radiation which is reflected off of said

-32-

5 reflector and constitutes a steerable beam, and a plurality of power  
amplifiers for generating output signals simultaneously in parallel which  
are provided to said plurality of radiating elements, the improvement  
comprising in combination:

10 means, operatively disposed between said amplifiers and  
said plurality of radiating elements, for distributing said output signals to  
said plurality of radiating elements in a predetermined manner based upon  
a relative frequency associated with said output signals, whereby the  
power of the output signals is effectively combined.

1 35. A system as in Claim 34, wherein said means includes a  
Butler matrix.

1 36. A system as in Claim 34 having only one reflector, and  
wherein said plurality of radiator elements is located substantially at the  
focal point of said reflector.

1/7

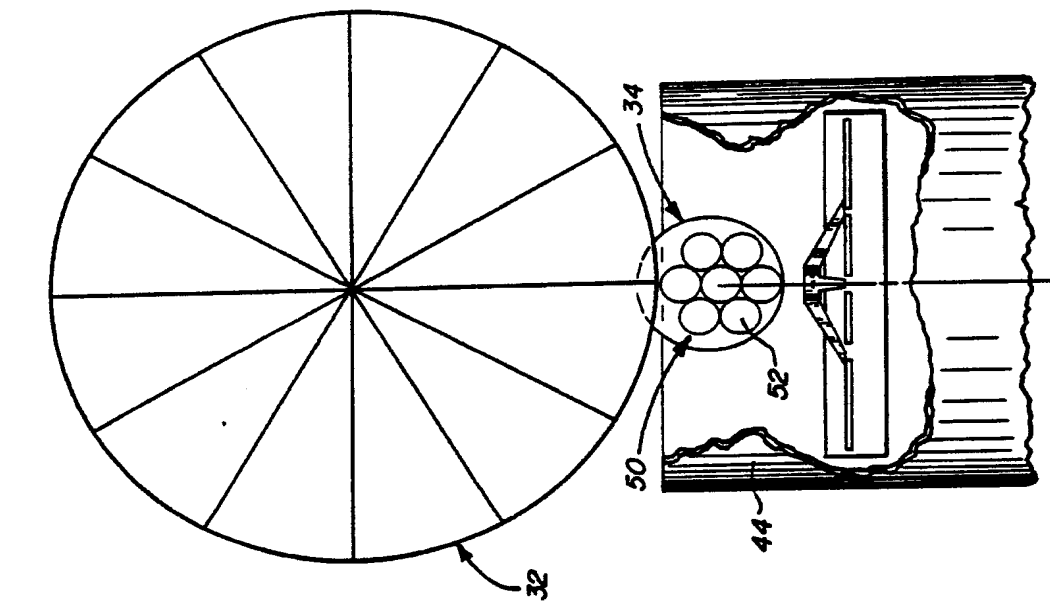


FIG. 2

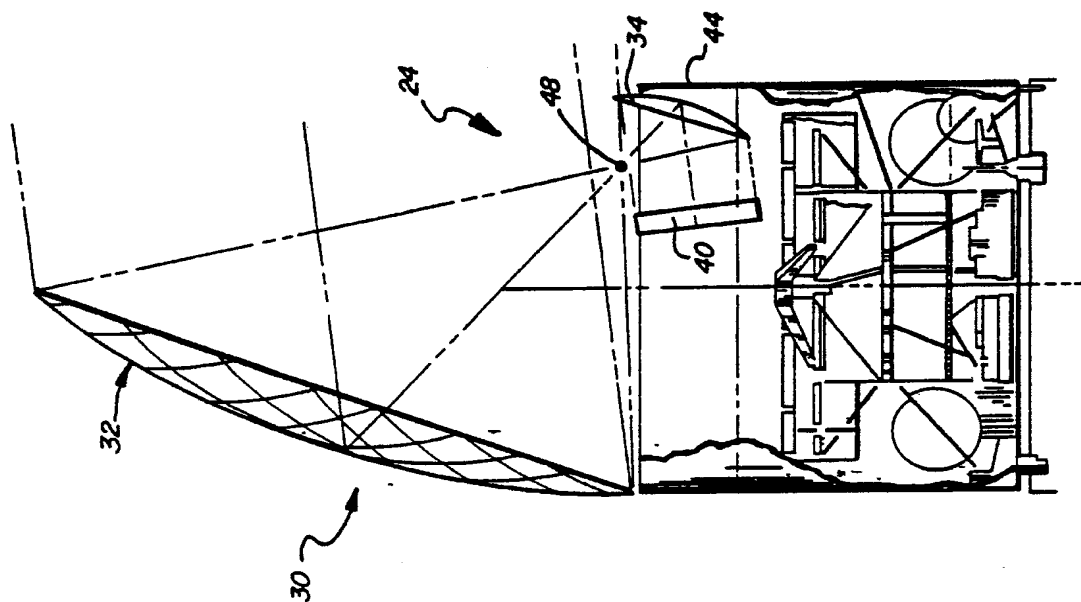


FIG. 1

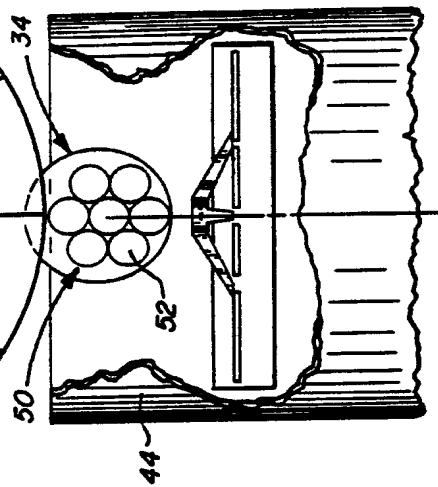


FIG. 3



3/7

FIG. 6

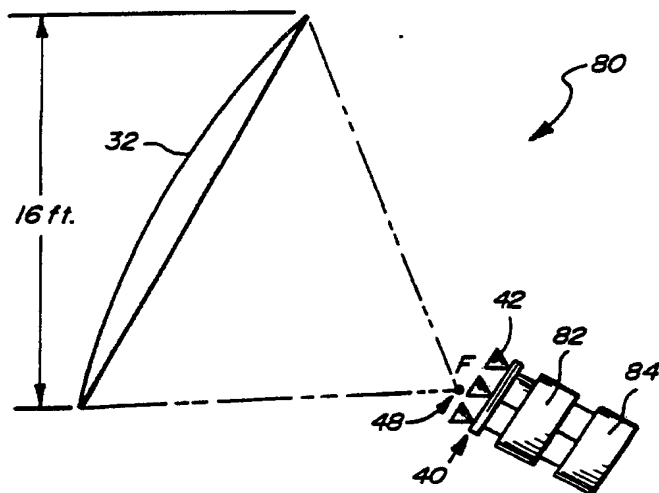


FIG. 7

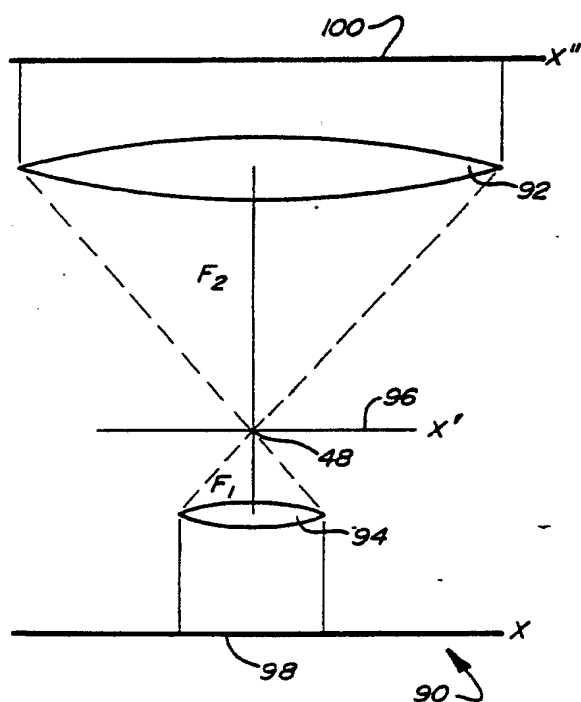
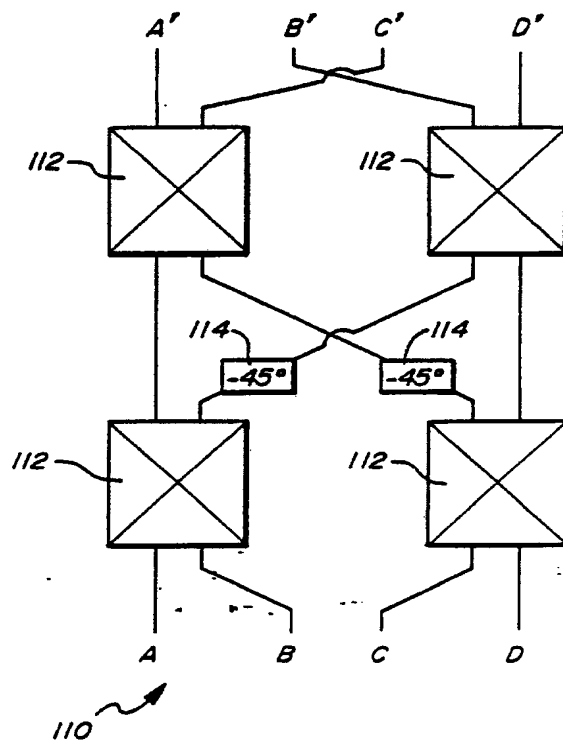


FIG. 8



4/7

FIG. 9

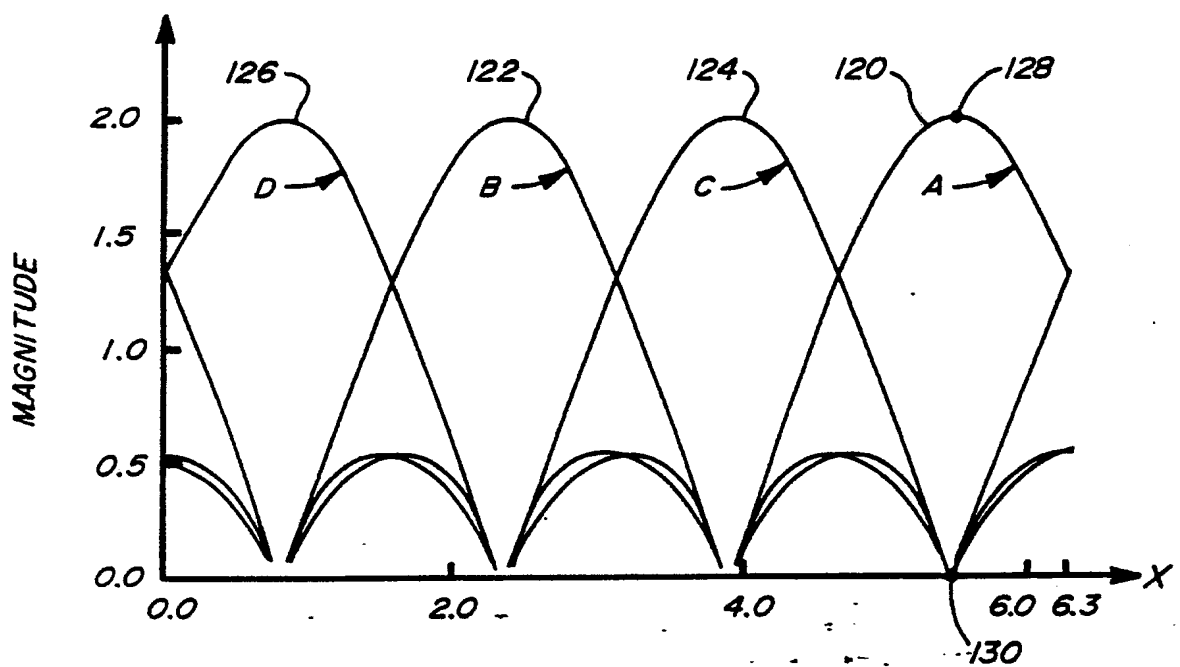
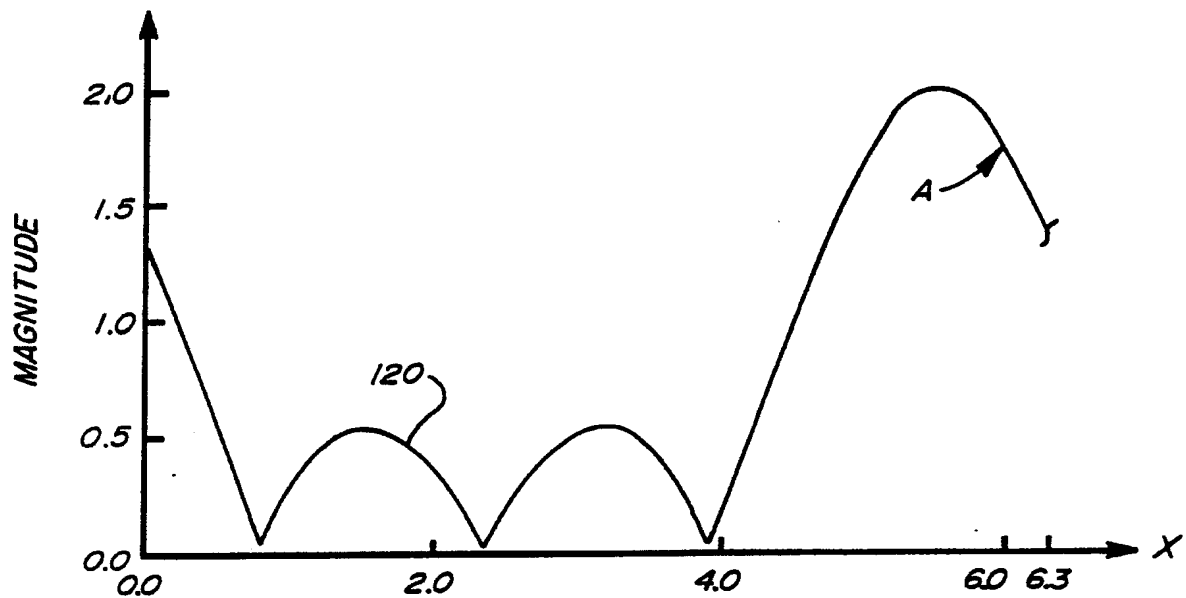


FIG. 10

5/7

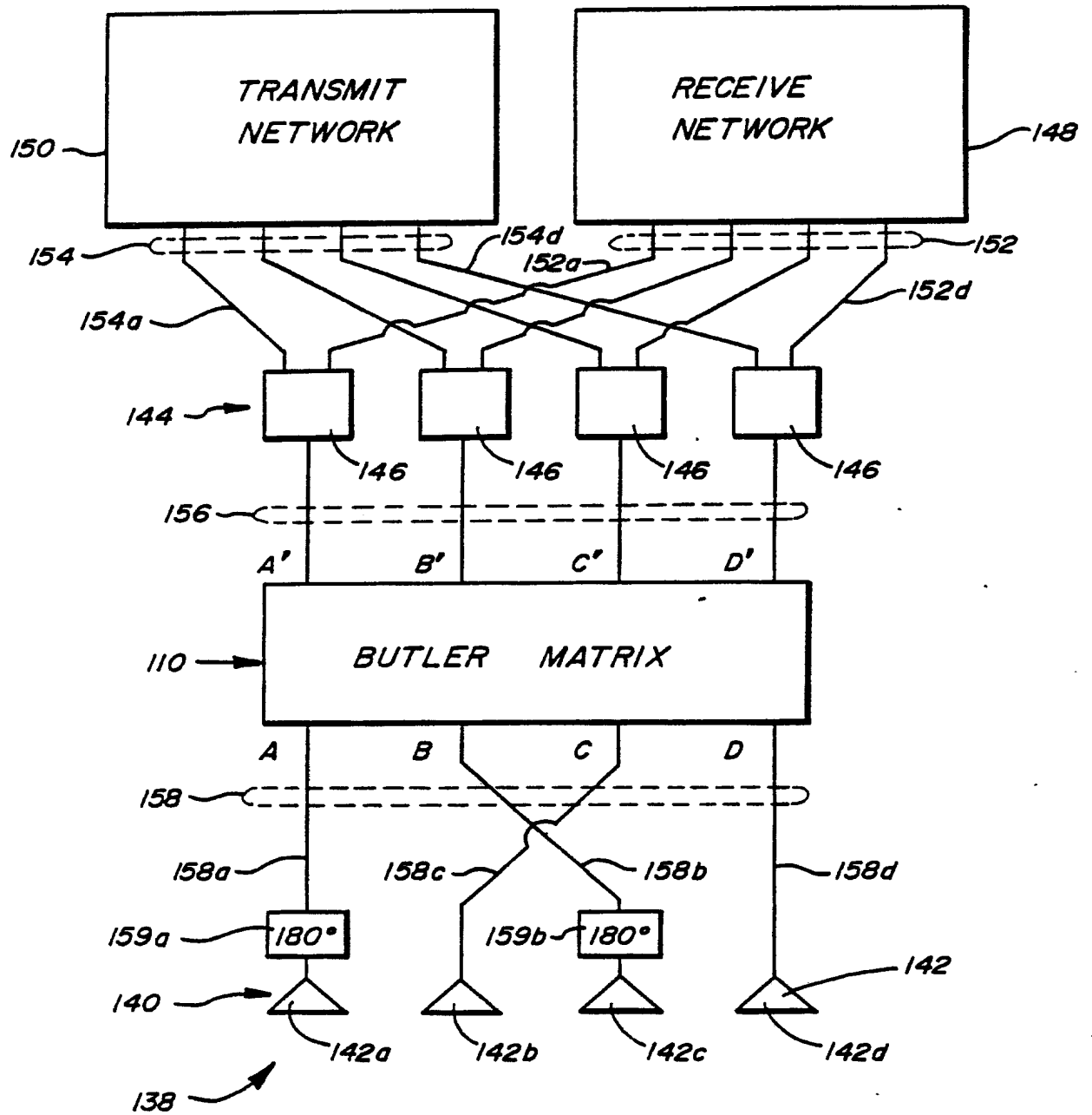


FIG. 11

FIG. 12

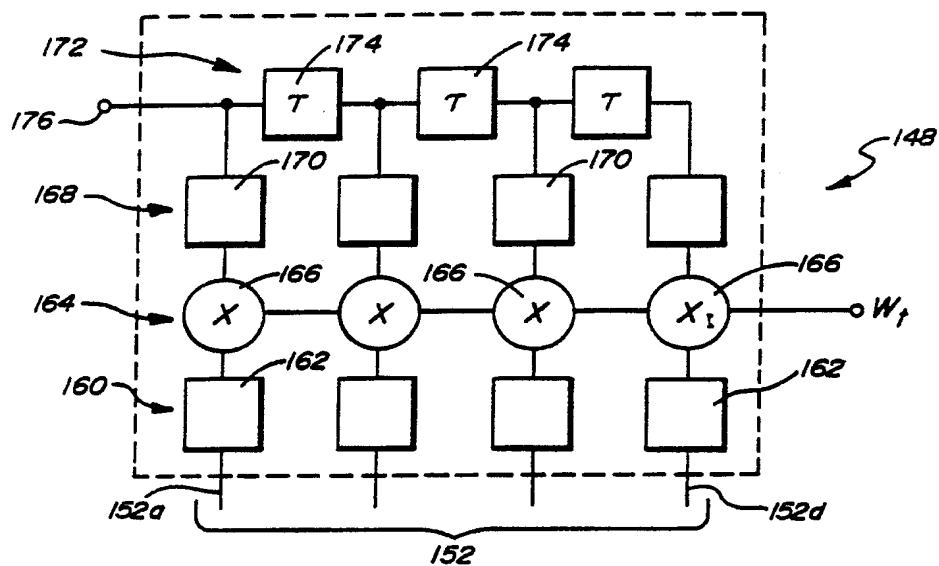
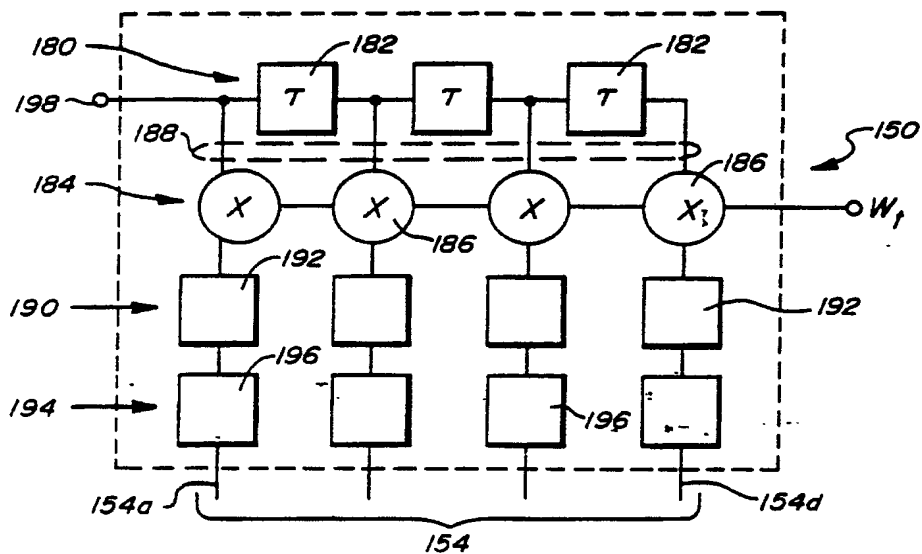


FIG. 13





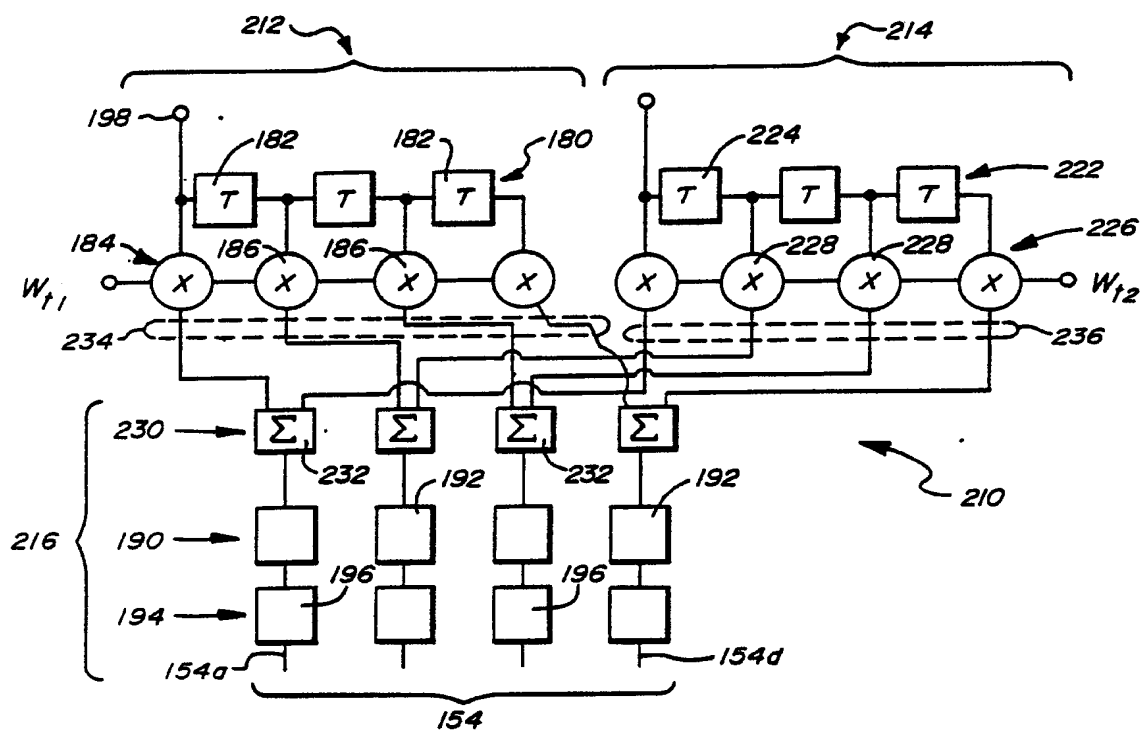


FIG. 14

# INTERNATIONAL SEARCH REPORT

International Application No PCT/US 87/03100

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (If several classification symbols apply, indicate all) <sup>6</sup> According to International Patent Classification (IPC) or to both National Classification and IPC IPC <sup>4</sup> : H 01 Q 3/22; H 01 Q 3/40; H 01 Q 25/00; H 04 B 7/185																				
<b>II. FIELDS SEARCHED</b> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Minimum Documentation Searched <sup>7</sup></div> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 25%; border: 1px solid black; text-align: left;">Classification System</th> <th style="border: 1px solid black; text-align: left;">Classification Symbols</th> </tr> <tr> <td style="border: 1px solid black; padding: 5px;">IPC<sup>4</sup></td> <td style="border: 1px solid black; padding: 5px;">H 01 Q; H 04 B</td> </tr> </table> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup></div>			Classification System	Classification Symbols	IPC <sup>4</sup>	H 01 Q; H 04 B														
Classification System	Classification Symbols																			
IPC <sup>4</sup>	H 01 Q; H 04 B																			
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>9</sup></b> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 10%; border: 1px solid black; text-align: left;">Category <sup>9</sup></th> <th style="width: 70%; border: 1px solid black; text-align: left;">Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup></th> <th style="width: 20%; border: 1px solid black; text-align: left;">Relevant to Claim No. <sup>13</sup></th> </tr> <tr> <td style="border: 1px solid black; text-align: center; vertical-align: top;">X</td> <td style="border: 1px solid black; vertical-align: top;">Journal of Spacecraft and Rockets, volume 17, no. 1, January / February 1980, (New York, US), D.O. Reudink et al.: "Rapid-scan area-coverage communication satellite", pages 9-14 see page 11, section "Beam-forming networks" with figures 3.A.4</td> <td style="border: 1px solid black; vertical-align: top;">1,3,5</td> </tr> <tr> <td style="border: 1px solid black; text-align: center; vertical-align: top;">Y</td> <td style="border: 1px solid black; vertical-align: top;">--</td> <td style="border: 1px solid black; vertical-align: top;">6,7,23-33</td> </tr> <tr> <td style="border: 1px solid black; text-align: center; vertical-align: top;">Y</td> <td style="border: 1px solid black; vertical-align: top;">US, A, 3710281 (THOMAS) 9 January 1973 see abstract; columns 1-2, section "Summary" and claims 1-7 with figures 1-5</td> <td style="border: 1px solid black; vertical-align: top;">6-17,23-33</td> </tr> <tr> <td style="border: 1px solid black; text-align: center; vertical-align: top;">A</td> <td style="border: 1px solid black; vertical-align: top;">-- US, A, 4228401 (WACHS et al.) 14 October 1980 see column 2, lines 29-58; column 3, lines 4-46 with figure 1</td> <td style="border: 1px solid black; vertical-align: top;">18-22,34-36</td> </tr> <tr> <td style="border: 1px solid black; text-align: center; vertical-align: top;">X</td> <td style="border: 1px solid black; vertical-align: top;">-- US, A, 4122453 (PROFERA) 24 October 1978 see abstract, column 2, lines 17-31 with figures 1-3</td> <td style="border: 1px solid black; vertical-align: top;">1-3,6-9</td> </tr> </table>			Category <sup>9</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>	X	Journal of Spacecraft and Rockets, volume 17, no. 1, January / February 1980, (New York, US), D.O. Reudink et al.: "Rapid-scan area-coverage communication satellite", pages 9-14 see page 11, section "Beam-forming networks" with figures 3.A.4	1,3,5	Y	--	6,7,23-33	Y	US, A, 3710281 (THOMAS) 9 January 1973 see abstract; columns 1-2, section "Summary" and claims 1-7 with figures 1-5	6-17,23-33	A	-- US, A, 4228401 (WACHS et al.) 14 October 1980 see column 2, lines 29-58; column 3, lines 4-46 with figure 1	18-22,34-36	X	-- US, A, 4122453 (PROFERA) 24 October 1978 see abstract, column 2, lines 17-31 with figures 1-3	1-3,6-9
Category <sup>9</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>																		
X	Journal of Spacecraft and Rockets, volume 17, no. 1, January / February 1980, (New York, US), D.O. Reudink et al.: "Rapid-scan area-coverage communication satellite", pages 9-14 see page 11, section "Beam-forming networks" with figures 3.A.4	1,3,5																		
Y	--	6,7,23-33																		
Y	US, A, 3710281 (THOMAS) 9 January 1973 see abstract; columns 1-2, section "Summary" and claims 1-7 with figures 1-5	6-17,23-33																		
A	-- US, A, 4228401 (WACHS et al.) 14 October 1980 see column 2, lines 29-58; column 3, lines 4-46 with figure 1	18-22,34-36																		
X	-- US, A, 4122453 (PROFERA) 24 October 1978 see abstract, column 2, lines 17-31 with figures 1-3	1-3,6-9																		
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><sup>10</sup> Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"A" document member of the same patent family</p> </div> </div>																				
<b>IV. CERTIFICATION</b> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; border: 1px solid black; padding: 5px;">           Date of the Actual Completion of the International Search  <b>2nd March 1988</b> </td> <td style="width: 50%; border: 1px solid black; padding: 5px;">           Date of Mailing of this International Search Report  <b>11 APR 1988</b> </td> </tr> <tr> <td style="border: 1px solid black; padding: 5px;">           International Searching Authority  <b>EUROPEAN PATENT OFFICE</b> </td> <td style="border: 1px solid black; padding: 5px;">           Signature of Authorized Officer  <b>M. VAN MOL</b> </td> </tr> </table>			Date of the Actual Completion of the International Search <b>2nd March 1988</b>	Date of Mailing of this International Search Report <b>11 APR 1988</b>	International Searching Authority <b>EUROPEAN PATENT OFFICE</b>	Signature of Authorized Officer <b>M. VAN MOL</b>														
Date of the Actual Completion of the International Search <b>2nd March 1988</b>	Date of Mailing of this International Search Report <b>11 APR 1988</b>																			
International Searching Authority <b>EUROPEAN PATENT OFFICE</b>	Signature of Authorized Officer <b>M. VAN MOL</b>																			

III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
Category *	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No
A	Conference Proceedings of the 16th European Microwave Conference, 8-12 September 1986, F. Rispoli et al.: "High performance beam forming network for multi contoured beam antennas", pages 369-374 see pages 369-370, section "Introduction" with figures 1-3 --	1-5
A	1981 International Symposium, Digest Antennas and Propagation", volume II, 1981, IEEE, (Los Angeles, US), J.D. Hanfling et al.: "Transform feed for low sidelobe space-fed lens phased array antenna", pages 461-464 see page 461 with figure 1 --	1-17
A	National Telecommunications Conference, NTC-1980, 30 November - 4 December, Conference Record, volume 1 of 4, IEEE, (Houston, Texas, US), P.R. Hirschler-Marchand et al.: "System design and technology development for an EHF beam-hopped satellite downlink", pages 17.5.1-17.5.7 see page 17.5.1 - 17.5.2 with figures 1-5 -----	1,3-5

**ANNEX TO THE INTERNATIONAL SEARCH REPORT  
ON INTERNATIONAL PATENT APPLICATION NO.**

US 8703100

SA 19884

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report.  
The members are as contained in the European Patent Office EDP file on 18/03/88  
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A- 3710281	09-01-73	FR-A- 2117616	21-07-72
US-A- 4228401	14-10-80	None	
US-A- 4122453	24-10-78	None	